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11/26/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Number : 09/511,362 Confirmation No.: 4127
Applicant : SULLIVAN
Filed : February 23, 2000
Title : MUTUAL FUND CARD METHOD AND SYSTEM
TC/Art Unit : 3624
Examiner: : A. L. BASHORE

Docket No. : 47004.000067
Customer No. : 21967

REQUEST FOR RECONSIDERATION UNDER 37 C.F.R. § 1.111

MAIL STOP: NON-FEE AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Sir/Madam:

I. Introductory Comments

In response to the non-final Office Action issued on August 13, 2003 ("Office Action"), Applicant respectfully requests reconsideration on the basis of the amendment and remarks set forth herein. Applicant submits that the application is in condition for allowance, and respectfully requests same.

Amendment to the claims begins on page 2 of this paper.

Amendment to the specification begins on page 9 of this paper.

Amendment to the drawings begins on page 13 of this paper and include both an attached replacement sheet and an annotated sheet showing changes.

Remarks/Argument begin on page 14 of this paper.